

THIRD PARTY REGULATORY GUIDANCE

FFIEC IT Handbooks
(esp Appendices E & J)

Outsourcing Technology Services

Supervision of Technology Service Providers

FIL-49-1999
Bank Service Company Act

FIL-81-2000
Risk Management of Technology Outsourcing

FIL-22-2001
Security Standards for Customer Information

FIL-50-2001
Bank Technology Bulletin: Technology Outsourcing Information Documents

FIL-68-2001
501(b) Examination Guidance

FIL-23-2002
Country Risk Management

FIL-121-2004
Computer Software Due Diligence

FIL-27-2005
Guidance on Response Programs

FIL-52-2006
Foreign-Based Third Party Service Providers

FIL-105-2007
Revised IT Officer's Questionnaire

NCUA 2007-cu-13
Evaluating Third Party Relationships

FIL-44-2008
Guidance for Managing Third Party Risk

FIL-127-2008
Guidance for Payment Processor Relationships

FIL-3-2012
Managing Third Party Payment Processor Risk

CFPB 2012-03
Service Providers

OCC-2013-29
Guidance on Third Party Relationships

Federal Reserve SR 13-19/CA 13-21
Guidance on Managing Outsourcing Risk

FFIEC Social Media Guidance

OCC-2017-7
Supplemental Examination Procedures for Risk Management of Third Party Relationships

OCC-2017-21
Frequently Asked Questions to Supplement OCC Bulletin 2013-29

NCUA SL-17-01
Evaluating Compliance Risk

OCC-2017-43
Risk Management Principles